

## ON CHARACTERIZATION OF $u$ - IDEALS DETERMINED BY SEQUENCES

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### ABSTRACT

The area of ideals is important in the study of Analysis, algebra, Geometry and Computer science. The various types of ideals have been studied, for example  $m$  ideals and  $h$  ideals. The  $m$  ideals defined on real Banach spaces are referred to as  $u$  - ideals. The natural examples of  $u$  - ideals with respect to their biduals, are order continuous Banach lattices. Using the approximation property, we shall study properties of  $u$  - ideals and their characterization. We define the set of compact operators  $K(X)$  on  $X$  to be  $u$  - ideals given that  $X$  is a separable reflexive Banach space with approximation property if and only if there is a sequence  $(T_n)$  of finite rank of operators with  $\lim_{n \rightarrow \infty} \|I - 2T_n\| = 1$  and  $\lim_{n \rightarrow \infty} T_n x = x$ . We shall show that  $u$ -ideals containing no copies of sequences  $\ell_1$  are strict  $u$  - ideals.

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### INTRODUCTION

The notion of ideals was first introduced by Alfsen and Effros [4] in the early 1970's. They defined a closed subspace  $X$  of Banach Space  $Y$  to be an ideal in  $Y$  if the orthogonal complement of  $X$  in the dual of  $Y$  is the kernel of a norm one projection. That is,  $\beta: Y^* \rightarrow Y^*$  such that  $X^\perp = \{y^* \in Y^*: y^*(x) = 0, \forall x \in X\} = \text{Ker}\beta$ . A simple example is that  $X$  is always an ideal in  $X^{**}$ . Let  $Q: X^{***} \rightarrow X^{***}$  be the identity. Then, clearly  $Q$  is a projection and  $\text{Ker}Q = \{0\}$ . Now  $X \subseteq X^{**}$  and so  $X^\perp \subseteq X^* \subseteq X^{***}$ . However,

$X^\perp = \{x^* \in X^* \mid x^*(x) = 0, \forall x \in X\} = \{0\}$ . Therefore,

$\text{Ker}Q = X^\perp$ . This shows that  $X$  is always an ideal in  $X^{**}$ . Since then scholars have studied various types of ideals and their properties. They have borrowed a lot from algebra since ideals are known to have absorbing properties. For instance an ideal  $I$  of a ring  $R$  which is an additive subgroup and is such that for all  $x \in R$  and  $y \in I, xy \in I$ . The  $m$ -ideals defined on a real Banach space are called  $u$ -ideals whereas on a complex Banach space is called  $h$ -ideals. Let  $X$  be a subspace of a Banach space  $Y$ . We will say that  $X$  is an  $m$ -summand if it is the range of a contractive projection and that  $X$  is

an ideal in  $Y$  if  $X^\perp$  is the kernel of a contractive projection on  $Y^*$ . Godefroy, Kalton and Saphar [3] defined  $u$ -ideals as the generalizations of  $m$ -ideals. The subspace  $K(X, Y)$  is an ideal in  $L(X, Y)$  if  $K(X, Y)$  is the kernel of a contractive projection  $\beta$  in  $L(X, Y)^*$ . That is,  $\beta: Y^* \rightarrow Y^*$  such that  $X^\perp = \{y^* \in Y^*: y^*(x) = 0 \quad \forall x \in X\}$ . Moreover,  $K(X, Y)$  is a  $u$ -ideal in  $(L(X, Y), \|\cdot\|)$  if  $\|I - 2\beta\| = 1$ . The natural examples of  $u$ -ideals with respect to their biduals, are order-continuous Banach lattices.

In this paper we fill a few gaps in  $u$ -ideals determined by sequence spaces  $\ell_1, \ell_\infty, c_0$ .

We show that if  $X$  is a separable  $u$ -ideal containing no copies of  $\ell_1$  then, it is a strict  $u$ -ideal. In section 2 we discuss  $u$ -ideals and their characterization. In section 3 we characterize strict  $u$ -ideals determined by sequence space  $\ell_1$ .

**Remark 1.1:** The sequence spaces  $\ell_1$  and  $\ell_\infty$  can never be strict  $u$ -ideals in their biduals since dual spaces are 1-complemented in their biduals [5].

### 2.0 $u$ - IDEALS

We say that a closed subspace  $X$  of  $Y$  is a  $u$ -summand if there is a subspace  $Z$

(the  $u$  - complement of  $X$  ) so that  $X \oplus Z = Y$  and if  $x \in X, z \in Z$  then  $\|x+z\| = \|x-z\|$ . If  $X$  is a  $u$ -summand then the induced projection  $P: Y \rightarrow X$  with  $P(Y) = X$  and  $Ker P = Z$  satisfies  $\|I - 2p\| = 1$ .

**Lemma 2.1 :** Suppose  $X$  is a closed subspace of  $Y$ . Then there is at most one projection  $P$  of  $Y$  onto  $X$  satisfying  $\|I - 2p\| = 1$ .

**Proof:** Suppose  $P$  and  $Q$  are two projections such that  $\|I - 2P\| = \|I - 2Q\| = 1$ . Then

$$(I - 2p)(I - 2Q) = (I - 2Q) - 2P(I - 2Q) = I - 2Q - 2P + 4PQ$$

Now, since  $Q(Y) = X$ , we have

$$(PQ)y = P(Qy) = Qy, \text{ where } y \in Y \text{ and } Qy \in X.$$

Therefore

$$(I - 2p)(I - 2Q) = I - 2Q - 2P + 4Q = I + 2Q - 2P = I + 2(Q - P).$$

Thus we have

$$\begin{aligned} ((I - 2P)(I - 2Q))^2 &= (I + 2(Q - P))(I + 2(P - Q)) \\ &= I + 2(Q - p) + 2(Q - P)(I + 2(Q - P)) \\ &= I + 2(Q - P) + 2(Q - P) + 4(Q - P) \\ &= I + 4(Q - P) + 4(Q^2 - PQ - QP + P^2) \\ &= I + 2.2(Q - P). \end{aligned}$$

$$\begin{aligned} ((I - 2P)(I - 2Q))^3 &= (I + 4(Q - P))(I + 2(Q - p)) \\ &= I + 2(Q - P) + 4(Q - P) + 8(Q - P)^2 \\ &= I + 2.3(Q - P) \text{ etc.} \end{aligned}$$

In general  $((I - 2P)(I - 2Q))^n = I + 2n(Q - p)$ .

Since

$$\|I - 2n(Q - P)\| = \|I - 2n(P - Q)\| \geq \|1 - 2n\|P - Q\| \xrightarrow{\frac{n}{\infty}} \infty \text{ if } \|P - Q\| \neq 0$$

$$\text{and } \|((I - 2P)(I - 2Q))^n\| \leq \|I - 2p\|^n \|I - 2Q\|^n = 1,$$

We have a contradiction, unless  $P = Q$ .

**Lemma 2.2:** If  $A$  is a  $u$ -ideal in  $B$  then  $A$  is a  $u$ -summand if and only if  $W$  is weak\*-closed.

**Proof:** Clearly if  $W$  is weak\*-closed then  $X$  is weak\*-continuous and so  $X = Y^*$  where  $\|I - 2Y\| = 1$  and

$Y(B) = A$ . Conversely, suppose  $A$  is a  $u$ -summand and

let  $Y$  be a projection onto  $A$  with  $\|I - 2Y\| = 1$ . Then

$I - Y^*$  has a range  $A^\perp$  and so  $I - Y^* = I - X$  by Lemma 2.1. Hence  $X$  is weak\*-continuous.

**Proposition 2.1:** Let  $X$  be a closed subspace of a Banach space  $Y$ . If  $K(Z, X)$  is a  $u$ -ideal in  $K(Z, Y)$  for some Banach space  $Z \neq \{0\}$ , then  $X$  is  $u$ -ideal in  $Y$ .

**Proof:** Suppose  $\overline{K(Z, X)}$  is an ideal in  $\overline{K(Z, Y)}$ . Let  $E$  be a finite dimensional subspace of  $Y$ . Let  $z \in Z$  and  $z^* \in Z^*$  be such that  $\|z\| = \|z^*\| = z^*(z) = 1$ . Denote

$T = \{z^* \otimes y : y \in E\} \subseteq K(Z, Y)$ . Let  $\varepsilon > 0$  and let  $V: T \rightarrow \overline{K(Z, X)}$  be an operator such that  $\|V\| \leq 1 + \varepsilon$  and  $V(S) = S$  for all  $s \in T \cap K(Z, X)$ . Now define a map  $U: E \rightarrow X$  by  $U_y = (V(z^* \otimes y))z$ . Then  $U$  "locally 1-complements"  $X$  in  $Y$  by local formulations of  $u$ -ideals [1, Lemma 2.9].

### 3. STRICT $u$ - IDEALS

In this section we consider strict  $u$ -ideals, that is, the Banach space  $X$  which are strict  $u$ -ideals in their biduals  $X^{**}$ . It has already been show that Banach spaces containing copies of  $\ell_1$  are not strict  $u$ -ideals [3, Theorem 5.1]. We show that separable Banach spaces containing no copies of  $\ell_1$  are strict  $u$ -ideals. A Banach space  $X$  is said to be a strict  $u$ -ideal in its bidual when the canonical decomposition  $X^{***} = X^* \oplus X^\perp$  is unconditional. In other words for  $X$  to be a strict  $u$ -ideal the  $u$ -complement of  $X^\perp$  must be norming, that is, the range  $V$  of the induced projection on  $X^{***}$  is a norming subspace of  $X^*$ .

**Remark 3.1:** The sequence space  $\ell_1$  is a  $u$ -ideal since it is a  $u$ -summand in  $\ell_1^{**}$ . It is therefore not a strict  $u$ -ideal (Lemma 2.1).

**Proposition 3.1:** Let  $X$  be a Banach space containing no copy of  $\ell_1$ . If  $X$  is a strict  $u$ -ideal in  $X^{**}$  and  $K(Z, X)$  is an Ideal in  $K(Z, X^{**})$  for a reflexive Banach space  $Z$ , then  $K(Z, X)$  is a strict  $u$ -ideal in  $K(Z, X^{**})$ .

**Proof:** Let  $\lambda: X^{***} \rightarrow X^{***}$  be the projection from the definition of a strict  $u$ -ideal and let  $Q$  denote the ideal projection on  $K(Z, X^{**})^*$ . It follows that  $X^*$  does not contain any proper norming closed subspace [3, proposition 5.2]. But then  $X$  has the unique extension property thus  $K(Z, X)$  is a  $u$ -ideal in  $K(Z, X^{**})$ . However  $Q$  is the desired  $u$ -ideal projection and  $Q(x^{***} \otimes z) = (\lambda x^{***}) \otimes z$  for  $x^{***} \in X^{***}, z \in Z$ . In view of this equality the range of  $Q$  contains the functionals  $x^{***} \otimes z$  with  $x^{***} \in \text{ran } \lambda$  and  $z \in Z$ . But this functionals give the norm of any  $V \in K(Z, X^{**})$  by  $(\|V\| = \sup \{ |x^{***}(Vz)| : x^{***} \in A_{\text{ran } \lambda}, z \in Az \})$  because the  $\text{ran } \lambda$  is a norming subspace for  $X^{**}$  in  $X^{***}$  in fact  $\text{ran } \lambda = X^*$  (cf. [3]).

We now characterize the  $u$ -ideals determined by the sequence space  $\ell_1$ .

**Remark 3.2:** A separable Banach space containing  $\ell_1$

cannot be a strict  $u$  -ideal in its bidual [5].

**Theorem 3.1:** Let  $A$  be a  $u$  -ideal. The following are equivalent:

- i)  $A$  is a strict  $u$  -ideal.
- ii)  $A^*$  is a  $u$  -ideal.
- iii)  $\|I - 2p\| = 1$ .
- iv) Every separable subspace of  $A$  has separable dual.
- v)  $A$  contains no copy of  $\ell_1$ .

**Proof.** (i)  $\rightarrow$  (ii) This is clear since  $A$  is a separable Banach space. In this case the operator  $V : A^{**} \rightarrow A^{**}$  is an isometry. Since  $V$  is hermitian it follows that  $V(A) = V^2(A)$  and so  $V$  is invertible on  $V(A)$ . This implies that  $V$  is surjective and so its spectrum is contained in the unit circle. Since its hermitian  $\delta(V) \subset \{\pm 1\}$ . However  $\|I - 2V\| = 1$  and so the spectrum of  $V$  reduces to  $\{1\}$ . Hence the spectrum of  $V - I = 0$  from Sinclair theorem [2] and  $N=F$  so that  $A^*$  is  $u$  -summand in  $A^{***}$ .

(ii)  $\rightarrow$  (iii) Let  $A^*$  contain no copy of  $c_0$ . Then since it is a dual space,  $\ell_\infty$  embeds into  $A^*$  and so has the property (u); which is not true. Therefore  $A^*$  is a  $u$  -summand in  $A^{***}$ . Let  $F: A^{***} \rightarrow A^*$  be a hermitian projection. Let  $N: X^{***} \rightarrow V$  be the hermitian projection associated with the fact that  $A$  is a  $u$  -ideal. Then  $2(FN - NF)$  is hermitian. Note that since  $F$  is also a norm one projection onto  $A^*$  and so  $FN$  is a hermitian on  $A^*$ . Hence  $I_{A^*} - FN$  is a hermitian implying that  $I_{A^*} - FN = 0$  on  $A^*$  and thus  $FN$  is another contractive projection onto  $A^*$ . Hence  $NF$  is a contractive projection. Thus  $A^* = V$  and  $F = P$ .

(iii)  $\rightarrow$  (iv) Let  $A$  be a separable space for which  $A^*$  is separable then by [3, Theorem 2.8] the hermitian condition holds.

(iv)  $\rightarrow$  (v) It is clear that  $A$  contains no copy of  $\ell_1$  since it has a separable dual.

(v)  $\rightarrow$  (i)  $V$  is an identity on  $X^{**}$  and so  $F = P$  and  $A$  is a strict  $u$  -ideal.

**Proposition 3.1:** Assume that  $X$  is non-reflexive. If  $X$  is a strict  $u$  -ideal in its bidual then every subspace of  $X$  contains no copy of  $\ell_1$ .

**Proof:** Since  $V$  is norming the associated operator  $T : X^{**} \rightarrow X^{**}$  is an isometry. If  $X$  contains a copy of  $\ell_1$  then, there exists  $x^{**} \in X^{**}$  with  $\|x^{**}\| = 1$  and such that  $\|x^{**} + x\| = \|x^{**} - x\|$  for all  $x \in X$ . If  $\|I - P\| = k$  then we can find a net  $(x_d)$  in  $X$ , converging weak\* to  $Tx^{**}$ , with  $\limsup \|Tx^{**} - x_d\| \leq k$ . Since  $T$  is an isometry

and

$\limsup \|x^{**} - x_d\| \leq k$ , Therefore

$\limsup \|x^{**} + x_d\| = \limsup \|Tx^{**} + x_d\| \leq k$ . However,

$\limsup \|Tx^{**} + x_d\| \geq 2$ . It is clear that every subspace of a strict  $u$  -ideal in its bidual does not contain  $\ell_1$ .

**Proposition 3.2:** Let  $X$  be a Banach space containing no copy of  $\ell_1$ . The following statements are equivalent:

- (i)  $X$  is a strict  $u$  -ideal.
- (ii) Every separable closed subspace  $Y$  of  $X$  and every element in the bidual of  $Y$  satisfy the hermitian condition.

**Proof:** Assuming that  $X$  is separable. We will show that  $X^*$  is separable. Let  $V$  be a closed norming subspace of  $X$ . Then if  $x^{**} \in V^\perp$  we have  $\|x^{**} - x\| \geq \|x\|$  for all  $x \in X$ . In particular  $\inf_{x \in V} \|x^{**} - 2x\| \geq 2$ . Therefore  $V = X^*$  and since  $X$  has no proper norming subspaces it follows that  $X^*$  is separable. Let there be a sequence  $(x_n)$  converging weak\* to  $x^{**}$  so that  $\lim \|x^{**} - 2x_n\| = 1$ . By density argument this holds for all  $x^{**} \in S_{X^{**}}$  and which shows that  $\|I - 2p\| = 1$ . If  $X$  is nonseparable then, every separable subspace  $Y$  satisfies  $u$  -constant of  $Y$  to be 1. This implies that the  $u$  -constant of  $X$  is 1 and hence  $X$  is a strict  $u$  -ideal in  $X^{**}$  containing no copy of  $\ell_1$ .

**Proposition 3.3:** Let  $A$  be a Banach space containing no copy of  $\ell_1$ . Show that

- (i)  $A^*$  has an approximating sequence  $(a_n)$  and  $A$  is a strict  $u$  -ideal iff  $A$  has an approximating sequence  $(a_n)$ .
- (ii)  $A$  and  $A^*$  have an approximating sequence  $(a_n)$  iff  $A$  has an approximating sequence  $(a_n)$ .

**Proof:** Let  $(a_n)$  be an unconditional approximating sequence for  $A^*$ . Then since  $A^*$  contains no copy of  $c_0$  [3, Theorem 3.5] there is a projection  $Q : A^{***} \rightarrow A^*$  by  $Qx^{***} = \lim a_n^{**} x^{***}$ . It follows that  $\|I - 2p\| = 1$ . However, if  $A$  is a strict  $u$  -ideal then  $\|I - 2p\| = 1$  and by Lemma 2.1,  $Q = P$ . Now let  $a_n^* : A^{**} \rightarrow A^{**}$ . Let  $c : A^{**} \rightarrow A^{**}/A$  be the quotient map, and let  $J : A \rightarrow A^{**}$  be the canonical embedding. Let  $H_n = ca_n^{**} J : A \rightarrow A^{**}$ . Then  $H_n^* : A^\perp \rightarrow A^*$  and coincides with  $a_n^{**}$ . Thus  $H_n^*$  converges to zero for the strong operator topology implying that  $H_n$  converges to zero for a weak topology on  $K(A, A^{**}/A)$ . Therefore by approximating properties  $\lim_{n \rightarrow \infty} a_n = a$ . In (ii)  $A$  has an

approximating sequence  $(a_n)$  such that  $(a_n^*)$  is an approximating sequence for  $A^*$  and such that  $\lim_{n \rightarrow \infty} \|I - 2a_n\| = 1$ . Then  $H_n - A_n$  converges weakly to zero in  $K(A)$  and so there is an approximating sequence of convex combinations  $R_n$  of  $H_n$  such that  $\lim_{n \rightarrow \infty} \|I - 2R_n\| = 1$ .

**Open questions:**

- (i) Is a Banach space  $X$  a  $u$  -ideal in  $X^{**}$  ?.
- (ii) If the dual of  $X$  is a  $u$  -summand in  $X^{**}$ , does it imply that it is a strict  $u$  -ideal ?.
- (iii) Let  $X$  be a separable reflexive Banach space. Can we show that  $K(X)$  is a  $u$  -ideal in  $\ell^\infty(X)$  iff  $X$  has an approximating sequence?.

**CONCLUSION**

We have shown that  $u$  -ideals containing no copies of

sequences  $\ell_1$  are strict  $u$  - ideals.

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